



PTU/SB/01 (U3-01)

Approved for use through 10/21/2007, OMG 0651-0037

13.5. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR		Attorney Docke	Attorney Docket Number BASE 100, 10					
DESIG	First Named In	venior	Kakefuda					
PATENT APPL	=	co	COMPLETE IF KNUWN					
(37 CFR 1	(37 CFR 1.63)			60 / 214.	705			
Danier D	Decipration	Filling Data	- 1	6/27/00				
Declaration Submitted OR	Submitted after initia	Group Art Unit						
with Inkial Filing	Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name						
As a below named inventor, I hereby risclars that:								
My residence, mailing address, and	J citizenship arc as state	d bolow next to my rism	е.					
I believe I am the original, first and names are listed below) of the subj	sole inventor (if only one ect matter which is claim	: name is listed below) o ned and for which a pate	r on original. Yil is sought o	first and joint invento of the invention entit	v (if plure) ed:			
Cyanobacterial Nuc	eleic Acid Fra	gments Encodir	ng Prote	ins Useful	l			
for Controlling Plant Traits via Nuclear or Plastome Transformation								
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the specification of which								
is attached horoto								
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Was filed on (MM/ODM)	06/27/2001	as United St	utus Applicati	on Number or PCT in	lanalional			
Application Number	and was a	nended on (MM/DD/YY)	m		(if applicable).			
Application retribut			''L	-	J` '' '			
I hereby state that I have reviewed amended by any amendment spec	and understand the conflicting referred to above.	lents of the above identi	fiod specifica	tion, including the cla	ilms, as			
I acknowledge the duty to disclose information which is molerial to patentability as defined in 37 CFR 1.56, including for continuation in-part applications, material information which became available between the filling date of the prior application and the national or PCT international filing date of the continuation-in-part application.								
I nereby claim fuseign priority benefits under 36 U.S.C. 118(a)-(d) or (f), or 365(h) of any fareign application(s) for patent, inventor's or plant breeder's rights cartificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of Articula, listed below and have also identified below, by checking the box, any foreign application for patent, inventors or plant breeder's lights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.								
Prior Foreign Application	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claims		py Attached?			
Number(s)		Automate 111			Ä			
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Additional freeign application numbers are listed on a supplemental priority data sheet P I U/SB/UZB attacticd free elv.								

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 natures to contribute. This will vary depending upon the enacts of the individual case. Any comments on the enacts of time you are required to complete that sum should be sent to the Chief Information Others, U.S. Patent and Trademark Office, Washington, DC 20231, OQ NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SCHO TO: Adabted Commissionary for Patente, Washington, DC 20231.



PTO/S8/01 (03-01)

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Name Ostrager Chong & Flaherty ILP					
Address 825 Third Avenue					
cay New York			Stote	N.Y.	ZIF 10022-7519
Country U.S.A.	Telepl	hone 212-6	326-6	5565	Fam 212-826-5909
I hereby declare that all statements made herein of more bolicyco to be true; and further that these statements are punishable by line or imprisonment, or both validity of the application or any patent issued thereon.	nemus 1. uno	weru made will	ING K	KAMIBAAB INDI WIJIIU I	also statements and the like so
NAME OF SOLE OR FIRST INVENTOR :		A petition h	us bee	en filed for this un	signed inventor
Civen Name (first and middle (if any)) Conichi				y Name rname Kakefu	da
hiventor's Signature					Date
Residence: City Chapel Hill		State N.C.		Country U.S.A.	Citizenship U.S.A.
Mailing Address 203 Zapata Lone					·
City Chapel Hill		State N.C.		zip 27514	Country U.S.A.
NAME OF SECOND INVENTOR:		A petition has	beer	filed for this unsi	gned inventor
Given Name (first and middle fif anys) Hairs - Winde	<u>a</u>		Femily or Su	y Name	-Koop
Biverious Hous-Morch &	wp	>			Date H the 2001
Residence: City Munchen		State		Germany ountry	Cermany Citizenship
Mailling Address Contract 11: P. 200 is maken 50					
Chy Munchen		State	z	80993	Country Germany
x additional inventors are heing named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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PTO/38/02A (11-00)

Approved for use through 10/31/2002. OMG 0881-003/2

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DECLARATION

ADDITIONAL INVENTOR(5)
Supplemental Shoot
Page of ___

		حصد					
							
Name of Additional Joint Inventor, if a	ny:	U	A petition has been filled for this unsigned inventor				
Given भिजान (भाउ। साथ मार्गवेदीक मि कार्थ	<u>n</u>	Family Name or Sismame					
Stephen		St	ilmer				
Inventor's Signature	 -			\perp	Date		
Residence: City Newtown	State PA	c	oumry USA	<u></u> ;	Citizonship USA		
Repilling Address 250 High Street							
Mailing Address							
city Newtown	State PA		ZIP 18940	Countr	y USA		
Name of Additional Joint Inventor, If an	ıy:		petition has been filed	tor thi:	o unaigned inventor		
Given Name (first and mithile [if any]	<u> </u>		Family Nam	<u>ie or Si</u>	urnome		
Rui-Guang			Zhon				
Inventor's					0		
Signature	T				Date		
Residence: City Marrisville	State NC	علــــــ	Sountry USA	. 1	Citizonship USA		
Mailing Address 1226 Spring Garde	in Drive	-					
Mailing Address	 -	-					
cay Morrisville	State NC		4P 27560	Com	nuy USA		
Name of Additional Joint Inventor, if ar			yelition has been filed t				
Given Name (first and middle [if eny]]	 }	Family Name of Surpame					
Inventor's Signature					Date		
Residence: City	State		Country		Gislaenship		
Mailing Address							
Mailing Address				<u> </u>			
City	State	1	ZIP	Co	ะมาเขา		

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	Attorney Docket Num	nber BASF 100,100					
DECLARATION FOR UTILITY OR DESIGN	First Named Inventor Kakefuda						
PATENT APPLICATION	COMPLETE IF KNOWN						
(37 CFR 1.63)	Application Number	60 / 214,705					
Declaration Declaration	Filing Date	6/27/00					
Submitted OR Submitted after Initial	Group Art Unit	·					
with Initial Filling (strictharge (37 CFR 1.16 (e)) required)	Examiner Name						
My residence, mailing address, and dilzenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject mafter which is claimed and for which a patent is sought on the invention entitled: Cyanobacterial Nucleic Acid Fragments Encoding Proteins Useful for Controlling Plant Traits via Nuclear or Plastome Transformation							
(Title of the lov	isution)						
the specification of whith	· · · · •						
is attached heroto							
OR Was filled on (MM/DD/YYYY) 06/27/2001 es United States Application Number or PCT International							
Application Number and was amended on (MM/DD/YYYY) (if applicable).							
I hereby state that I have reviewed and understand the contents amended by any amendment specifically referred to above.							
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filling date of the prior application and the national of PCT Informational filling date of the continuation-in-part application.							

I hereby dalm foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(b) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breader's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application		Forcign Filing Date	Priority	Certified Copy Attached?
Number(s)	Country	(MM/DD/YYY)	Not Claimed	YES NO
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Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

(Page 1 of 2)





P 10/68/01 (03-01)

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Address 825 Third Avenue						
chy New York			State	N.Y.	zip 10022-7519	
U.S.A.	Toler	ohone 212-8	326-	6565	Fax 212-826-5909	
I hereby declare that all statements made herein of a are believed to be true; and further that these state made are punishable by fine or imprisonment, or bot validity of the application or any patent issued thereon	mente Ih, una					
NAME OF SOLE OR FIRST INVENTOR:		A petition h	as be	en filed for this un	signed inventor	
Given Name (first and middle [if any]) Genichi Family Name or Surname Kakefuda						
Inventor's Guridii Kakafash Doto 9/26/01					Doto 9/26/01	
Residence: City Chapel Hill		State N.C	•	Country U.S.A.	Cilizenship U.S.A.	
Malling Address 203 Zapata Lane						
City Chapel Hill		State N.C.		zip 27514	Country U.S.A.	
NAME OF SECOND INVENTOR:		A pelition ha	s bee	n filed for this unsi	gned inventor	
Given Name (first and middle [if any]) Halls			Famil	ly Name Ulrich-		
hast me unords in a All			<u> </u>	1110,110		
Inventor's Signature Pate						
Paddam, Cin. Manchan		State		Germany Country	Germany Citizenship	
Mailing Address Gertrasse 11a, D	100	Murcinen 5	-			
Chy Munchen		State	;	ZIP	Country Germany	
Additional lovertors are being parned on the	5UD	dibbA Istramelo	onal Inc	ventor(s) sheet(s) PTO	/SB/02A attoched hereto.	





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OCTUBILITY STATES THE STANKE AND ASSESSMENT OF THE STANKE ASSESSMENT OF THE STANKE ASSESSMENT OF THE ST	ADDITIONAL INVENTOR(S)

Supplemental Sheet Page ___ of ___ DECLARATION A putition has been filed for this unsigned inventor Name of Additional Joint Inventor, if any: Family Name or Surname Given Name (first and middle [if any]) Sturner Stephen Inventor's Date Signature State PA Country USA Citizenship USA Newtown Residence: City 250 High Street Mailing Address Mailing Address ZIP 18940 Country IJSA State PA city Newtown A petition has been filed for this unsigned inventor Name of Additional Joint Inventor, if any: Family Name or Surgamo Given Name (first and middle jil anyl) 2ben Rui-Guang Inventors Signature State NC **IJSA** Citizenship USA Residence: City MOTTISVILLE Country 1226 Spring Garden Drive Malling Address Mailing Address State NC ZIP 27560 Country USA cay Morrisville Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle (if anyl)) Inventor's Date Signature. Country Cilizenship State Residence: City Mailing Address Mailing Address

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DECLARATION FOR UTILITY OR **DESIGN** PATENT APPLICATION (37 CFR 1.63)

Declaration Submitted with Initial

Declaration Submitted after Initial OR Filing (surcharge

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Attorney Docket Number		BASF 100,100						
First Named Inventor		Kakefuda						
COMPLETE IF KNOWN								
Application Number	60 / 214,705							
Filing Date		6/27/00						
Group Art Unit								
Examiner Name								

	Filing	(37 ČFR 1.16 (e)) required)	Exa	miner Name						
	As a below named inventor, I he	reby declare that:					- / / 			
	My residence, mailing address, an	d citizenship are as state	ed below n	ext to my nam	e.					
	I believe I am the original, first and names are listed below) of the sub	sole inventor (if only one ject matter which is clain	e name is ned and fo	listed below) o r which a pate	r an origir nt is soug	nal, first iht on th	and joint invento e invention entitl	r (if plural ed:		
	Cyanobacterial Nu for Controlling P							cion		
	(Title of the Invention)									
	the specification of which is attached hereto									
	or i was filed on (MM/DD/YYYY) i 06/27/2001 as United States Application Number or PCT International									
	Application Number	and was a	mended o	n (MM/DD/YY)	m			(if applicable).		
	I hereby state that I have reviewed amended by any amendment spec	l and understand the con cifically referred to above	itents of th	e above identi	fied speci	fication,	including the cla	aims, as		
	I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.									
	I hereby claim foreign priority ben- or plant breeder's rights certifical than the United States of Americ patent, inventor's or plant breeder application on which priority is claim	e(s), or 365(a) of any Po a, listed below and have 's rights certificate(s), or	CT interna e also ide	itional applicat ntified below,	tion which by check	n desigr ing the	nated at least or box, any foreigr	ne country other application for		
	Prior Foreign Application Number(s)	Country	_	Filing Date D/YYYY)	Prior Not Cla		Certified Co YES	py Attached?		
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ſ	Additional foreign application	numbers are listed on a	supplemer	ntal priority dat	a sheet P	TO/SB/	رے 02B attached he	reto:		

[Page 1 of 2]

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City New York		State	N.Y.	ZIP 10022-7519	
Country U.S.A. Telep	phone 212-8	326-6	5565	Fax 212-826-5909	
I hereby declare that all statements made herein of my ow are believed to be true; and further that these statements made are punishable by fine or imprisonment, or both, un- validity of the application or any patent issued thereon.	e were made wiii	n me kn	iowiedae diai wina i	aise staterile ins and the inc se	
NAME OF SOLE OR FIRST INVENTOR:	A petition h	as bee	en filed for this uns	signed inventor	
Given Name (first and middle [if any]) Genichi Family Name or Surname Kakefuda					
Inventor's Signature				Date	
Residence: City Chapel Hill	State N.C		Country U.S.A.	Citizenship U.S.A.	
Mailing Address 203 Zapata Lane					
city Chapel Hill	State N.C.		ZIP 27514	Country U.S.A.	
NAME OF SECOND INVENTOR:	A petition ha	s beer	filed for this unsi	gned inventor	
Given Name (first and middle [if any]) Hans			y Name Ulrich-	-коор	
Inventor's Signature Date					
Residence: City Munchen	State	c	Germany country	Germany Citizenship	
Mailing Address Gertrasse 11a, D 800 Munchen 50					
City Munchen	State		IP	Country Germany	
Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page ___ of ___

Name of Additional Joint Inventor, if an	A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])		Family Name or Sumame					
Stephen		Stı	urner				
Inventor's Signature					Date		
Residence: City Newtown	State PA	C	ountry USA	Cit	lizenship USA		
Mailing Address 250 High Street							
Mailing Address							
city Newtown	State PA		ZIP 18940 Co	untry	USA		
Name of Additional Joint Inventor, if an	y:		A petition has been filed for	or this	unsigned inventor		
Given Name (first and middle [if any])			Family Name	or Sur	name		
Rui-Guang Zhen Date 9/20/2001							
Residence: City Morrisville	State NC		Country USA		Citizenship USA		
Mailing Address 1226 Spring Garde	en Drive						
Mailing Address							
c _{ity} Morrisville	State NC		zip 27560	Coun	try USA		
Name of Additional Joint Inventor, if a	ny:	□ A	petition has been filed for	or this	unsigned inventor		
Given Name (first and middle [if any])		Family N	ame o	Surname		
Inventor's Signature					Date		
Residence: City	State		Country		Citizenship		
Mailing Address							
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City	State		ZIP	Co	untry		

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First Named Inventor

PTO/SB/01 (03-01)

Kakefuda

Inder the Paperwork Reduction Act of 1995, Appropriate duired to res	* * * * * * * * * * * * * * * * * * *	of use through 10/31/2002. OMB 0651-0032 ffice; U.S. DEPARTMENT OF COMMERCE ss it contains a valid OMB control number.
	Attorney Docket Number	

PATENT APPLI	CO	COMPLETE IF KNOWN									
(37 CFR 1.63)		Application Num	ber	60 / 214,705							
	·	Filing Date		6/27/00							
Declaration Submitted OR	Declaration Submitted after Initial			0/21/00							
with Initial	Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name									
As a below named inventor, I hereby declare that:											
My residence, mailing address, and citizenship are as stated below next to my name.											
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:											
Cyanobacterial Nucleic Acid Fragments Encoding Proteins Useful for Controlling Plant Traits via Nuclear or Plastome Transformation											
(Title of the Invention)											
the specification of which											
is attached hereto											
was filed on (MM/DD/YYYY) 06/27/2001 as United States Application Number or PCT International											
Application Number	Application Number and was amended on (MM/DD/YYYY) (if applicable).										
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.											
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation- in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.											
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.											
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO							
·											
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:											

[Page 1 of 2]

Direct all correspondence to: Customer Number or Bar Code Label OR X Correspondence address below								
Name Ostrager Chong & Flaherty LLP								
Address 825 Third Avenue								
city New York			N.Y.	ZIP 10022-7519				
U.C. A	phone 212-8	826-6	·	Fax 212-826-5909				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.								
NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor								
Given Name (first and middle [if any]) Genichi	ida							
Inventor's Signature		Date						
Residence: City Chapel Hill	State N.C	• (Country U.S.A.	Citizenship U.S.A.				
Mailing Address 203 Zapata Lane		r						
City Chapel Hill	State N.C.		ZIP 27514	Country U.S.A.				
NAME OF SECOND INVENTOR:	A petition ha	is been	filed for this unsi	gned inventor				
Given Name (first and middle [if any]) Hans		Family Name or Surname Ulrich-Koop						
Inventor's Signature			Date					
Residence: City Munchen	State	Co	Germany	Germany Citizenship				
Mailing Address Gertrasse 11a, D 800 Munchen 50								
City Munchen	State	ZI	IP	Country Germany				
X Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.								



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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page ___ of ___

Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])			Family Name or Sumame					
			Sturner					
Stephen 01 / C1								
Inventor's Stephen F. Hurrier						Date 9/23/01		
Residence: City Newtown	NA LICA			ountry USA	A Citizenship USA			
Mailing Address 250 High Street								
Mailing Address								
city Newtown	10040		zip <u>18940</u>	Country USA				
Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor								
Given Name (first and middle [if any])			Family Name or Surname					
D. C.			Zhen					
Rui-Guang Inventor's Signature						Date		
Residence: City Morrisville						Citizenship USA		
Mailing Address 1226 Spring Garden Drive								
Mailing Address								
	St	ate NC		ZIP 27560	Cour	ntry USA		
Name of Additional Joint Inventor, if any: State NC ZIP 27560 Country USA								
Given Name (first and middle [if any])			Family Name or Surname					
Inventor's						Date		
Signature		Country			Citizenship			
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